

Docket No.: 04270/0202281-US0  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Patent Application of:  
Anna E. Lobley et al.**

Application No.: 10/520,052

Confirmation No.: 5082

I.A. Filing Date: July 21, 2003

Art Unit: Not Yet Assigned

For: SEROTONIN RECEPTOR

Examiner: Not Yet Assigned

**SECOND PRELIMINARY AMENDMENT**  
**UNDER 37 C.F.R. § 1.115 AND**  
**RESPONSE TO NOTICE OF MISSING REQUIREMENTS**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

## INTRODUCTORY COMMENTS

Pursuant to Rule 115 of the Rules of Practice, please enter the following amendments and consider the accompanying remarks before examining this application on its merits. Submitted concurrently herewith are the following: (1) Declaration/Power-of-Authority executed by the inventors (five counterpart documents, which together form a single document: 25 pages); (2) Cover sheet and Assignments executed by the inventors (five counterpart documents, which together form a single document: 18 pages); (3) Computer Readable Form of Sequence Listing; (4) Paper copy of Sequence Listing (11 pages); (5) Statement Pursuant to 37 CFR 1.821(f) (2 pages); (6) Copy of Notice of Missing Requirements (3 pages); and (7) check in the amount of \$1835.00 covering (i) the additional claims fees (\$1425.00), as calculated after entry of this amendment, (ii) the declaration surcharge (\$65.00); (iii) the application size fee for the additional pages over 100 due to the Sequence Listing (\$125.00); (iv) the multiple dependent claim fee (\$180.00); and (v) the recordation

of the Assignment fee (\$40.00) . The Applicant qualifies for Small Entity Status, and the fees calculated reflect this, where applicable.

It is believed that no additional fees are required for this amendment. However, should the U.S. Patent and Trademark Office determine that any fee is required or that a refund is due, then the Commissioner is hereby authorized and requested to charge the required fee(s) and/or credit the refund(s) due to Deposit Account No. 04-0100 of Applicants' undersigned Attorneys/Agents.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 12 of this paper.

10/03/2005 NKAYPAGH 00000033 10520052

01 FC:2615	1425.00	OP
02 FC:2617	65.00	OP
03 FC:2616	180.00	OP
04 FC:1206	125.00	OP